

Molina Clinical Policy

Heart Transplantation: Policy No. 116

Last Approval: 02/14/2024

Next Review Due By: February 2025



DISCLAIMER

This Molina Clinical Policy (MCP) is intended to facilitate the Utilization Management process. Policies are not a supplementation or recommendation for treatment; Providers are solely responsible for the diagnosis, treatment, and clinical recommendations for the Member. It expresses Molina's determination as to whether certain services or supplies are medically necessary, experimental, investigational, or cosmetic for purposes of determining appropriateness of payment. The conclusion that a particular service or supply is medically necessary does not constitute a representation or warranty that this service or supply is covered (e.g., will be paid for by Molina) for a particular Member. The Member's benefit plan determines coverage – each benefit plan defines which services are covered, which are excluded, and which are subject to dollar caps or other limits. Members and their Providers will need to consult the Member's benefit plan to determine if there are any exclusion(s) or other benefit limitations applicable to this service or supply. If there is a discrepancy between this policy and a Member's plan of benefits, the benefits plan will govern. In addition, coverage may be mandated by applicable legal requirements of a State, the Federal government or CMS for Medicare and Medicaid Members. CMS's Coverage Database can be found on the CMS website. The coverage directive(s) and criteria from an existing National Coverage Determination (NCD) or Local Coverage Determination (LCD) will supersede the contents of this MCP and provide the directive for all Medicare members. References included were accurate at the time of policy approval and publication.

OVERVIEW

Heart failure affects over 6 million adults annually in the United States and between 12,000 to 35,000 children under age 19 (CDC 2023; Singh & Singh 2022). The leading causes of heart failure in adults are nonischemic cardiomyopathy and coronary artery disease, however, an increasing portion of adult heart transplants are due to complex congenital heart disease, restrictive cardiomyopathies, hypertrophic cardiomyopathies, and those requiring re-transplantation. In children, the most common disease processes leading to heart transplant are cardiomyopathy resulting in end stage heart failure, and congenital heart disease refractory to medical or conventional surgical treatment.

Heart transplantation is the last life saving measure available to those with end stage heart failure refractory to conventional medical management. In 2022, of the 42,888 transplants performed in the United States, approximately 10% were heart transplants, which is a sharp increase compared to 2021 (Mancini 2023).

In the adult population, median survival following heart transplantation is 11 years. Among pediatric transplant recipients, the median survival post-transplant ranges from 13 years among adolescents to 22 years for infant recipients. The highest mortality rate remains the first-year post-transplant. Causes of death within the first year following heart transplant include primary graft failure, infections, and rejection; thereafter mortality is contributed to cardiac allograft vasculopathy, non-specific graft failure, and malignancies. Approximately 3% of recipients undergo re-transplantation; selection criteria are high for those with graft failure (Pham 2022).

Advances in immunosuppression and transplantation have improved survival rates in heart transplant recipients, however hospitalizations for organ rejection and/or infection occur in 30-40% of patients within three years post-transplant (Singh & Singh 2022). In those who survive heart transplantation, many regain much of their functional status, with moderate to minor limitations in strenuous activities. The pediatric population fairs the best in reaching function status by having a three-year post-transplant functional status of 80%, which includes normal activity or minor limitations in strenuous activity.

Heart Allocation Process

The time in which a patient is on a pre-transplant waitlist has decreased since 2005. Updates to the organ allocation system have been one improvement along with success in transplant candidate survival when supported with ventricular assist devices. Currently transplant candidates are assigned a status which signals health condition and medical need for an organ based on a variety of factors, such as mechanical support, ECMO dependency, inotrope usage and more. Adults are assigned a status 1 – 6, and pediatric patients are assigned status 1A, 1B, or 2 (OPTN 2023).

The United Network for Organ Sharing (UNOS) allocation system was updated from a three-level system to a six-tier system in October 2018, and is currently developing a continuous distribution process for hearts. The continuous distribution framework gives candidates a composite score which more accurately reflects their status, instead of the current method which heavily relies on categories and sometimes misses candidates in dire need. The continuous distribution framework ensures no single factor/category determines priority for organs (UNOS 2024).

Molina Clinical Policy
Heart Transplantation: Policy No. 116

Last Approval: 02/14/2024
Next Review Due By: February 2025



COVERAGE POLICY

All transplants require prior authorization from the Corporate Transplant Department. The Corporate Senior Medical Director or qualified clinical designee will review solid organ transplant requests. All other transplants will be reviewed by the Corporate Senior Medical Director or covering Medical Director. If the criteria are met using appropriate NCD and/or LCD guidelines, State regulations, and/or MCP policies the Corporate Senior Medical Director's designee can approve the requested transplant.

Office visits with participating Providers do NOT require prior authorization. Providers should see the Member in office visits as soon as possible and without delay. Failure to see the Member in office visits may be considered a serious quality of care concern.

Transplant Evaluation

Please see *MCP-323 Pre-Transplant Evaluation* for additional criteria and information.

For Total Artificial Heart Transplantation, see *MCP-245: Heart Transplantation with a Total Artificial Heart*.

Criteria for transplant evaluation include:

1. History and physical examination
2. Psychosocial evaluation and clearance:
 - a. Absence of any history of medical treatment non-compliance
 - b. Member understands surgical risk and post procedure follow-up required.
 - c. Adequate family and social support
 - d. No behavioral health disorder by history or psychosocial issues:
 - i. If history of behavioral health disorder, no severe psychosis or personality disorder may be present.
 - ii. Mood/anxiety disorder must be excluded, unless actively treated and controlled.
3. EKG
4. Chest x-ray
5. Cardiac clearance in the presence of any of the following:
 - a. Chronic smokers
 - b. Members > 50 years age
 - c. Those with a clinical or family history of heart disease or diabetes.
6. Pulmonary clearance if evidence of pulmonary artery hypertension or chronic pulmonary disease
7. Neurological exam and clearance for transplant including **ONE** of the following:
 - a. Normal neurologic exam
 - b. Non-life limiting neurological impairment that does not preclude transplant and not caused by hematologic malignancy (e.g., diabetic peripheral neuropathy)
 - c. Abnormal neurological exam with positive findings including **ONE** of the following:
 - i. Lumbar puncture normal cytology
 - ii. Lumbar puncture with cytological exam abnormal: CNS disease treated prior to clearance.
8. A Performance Status that includes **ONE** of the following:
 - a. Karnofsky score 70-100%
 - b. Eastern Cooperative Oncology Group (ECOG) Grade 0-2.
9. Lab studies that include:
 - a. Complete blood count; kidney profile (blood urea nitrogen, creatinine); electrolytes; calcium; phosphorous; albumin; liver function tests; and coagulation profile (prothrombin time, and partial thromboplastin time);*
 - b. Serologic screening for: Human immunodeficiency virus (HIV); Epstein Barr virus; Hepatitis B virus; Hepatitis C virus; cytomegalovirus; rapid plasma reagin and/or fluorescent treponemal antibody.*

Molina Clinical Policy

Heart Transplantation: Policy No. 116

Last Approval: 02/14/2024

Next Review Due By: February 2025



- i. If HIV positive **ALL** of the following must be met:
 1. CD4 count >200 cells/mm-3 for >6 months
 2. Human immunodeficiency virus 1 ribonucleic acid undetectable
 3. On stable anti-retroviral therapy >3 months
 4. No other complications from acquired immunodeficiency syndrome (AIDS) (e.g., opportunistic infection, including aspergillus, tuberculosis, coccidioides mycosis, resistant fungal infections, Kaposi's sarcoma, or other neoplasm).
 - c. Urine drug screen (UDS) if Member has a history of and/or current drug abuse
10. Colonoscopy (if indicated or if Member is age \geq 45) with complete workup and treatment of abnormal results as indicated; an initial screening colonoscopy after initial negative screening requires a follow-up colonoscopy every 10 years)*
 11. Gynecological examination with Pap smear for women ages \geq 21 to \leq 65 years of age or if indicated (not indicated in women who have had a total abdominal hysterectomy or a total vaginal hysterectomy) within the last three years with complete workup and treatment of abnormal results as indicated*
 12. Dental examination or oral exam showing good dentition and oral care or no abnormality on panorex or plan for treatment of problems pre- or post-transplant within the last 12 months.
 13. Mammogram (if indicated or > age 40) with complete workup and treatment of abnormal results as indicated*
 14. Prostate Specific Antigen, if history of prostate cancer or previously elevated Prostate Specific Antigen with complete workup and treatment of abnormal results as indicated*

* Participating Centers of Excellence may waive these criteria.

Adult Criteria for Transplantation

Heart Organ transplantation from a deceased donor **is considered medically necessary** in Members who are age 18 years or older who meet **ALL** the following criteria:

1. All pre-transplant criteria are met
2. Heart failure prognosis scores performed with cardiopulmonary exercise test to determine prognosis and guide listing for transplantation for ambulatory patients. (Acceptable cut points for listing should be based on an estimated one-year survival as calculated by the Seattle Heart Failure Model of < 80% or a Heart Failure Survival Score in the high/medium risk range)
3. Member meets **ONE** of the following indications for cardiac transplantation:
 - a. Cardiogenic shock (defined as decreased cardiac output and evidence of tissue hypoxia in the presence of adequate intravascular volume despite maximum medical therapy) **OR** severe heart failure (New York Heart Association Class IV) that requires continuous intravenous inotropic support or mechanical cardiac support (such as intra-aortic balloon pump).
Includes sustained hypotension (systolic blood pressure < 90 mm Hg for at least 30 min) and a reduced cardiac index (< 2.2 L/min/m²) in the presence of elevated pulmonary capillary wedge pressure (>15 mm Hg).
 - b. Severe chronic heart failure as indicated by **ALL** the following:
 - i. NYHA Class III or IV (despite maximal medical therapy)
 - ii. Peak metabolic oxygen consumption on cardiopulmonary exercise test less than 14 mL/kg/min or less than 12 mL/kg/min if patient on beta-blocker.
 - c. Member has severe cardiac ischemia (angina) despite maximal feasible therapy (revascularization and/or medication) and consistently limits routine activity that is not amenable to coronary artery bypass surgery or angioplasty
 - d. Recurrent symptomatic or life-threatening ventricular arrhythmia unresponsive to therapy and interventional procedures such as intracardiac defibrillator or catheter ablation.

Molina Clinical Policy
Heart Transplantation: Policy No. 116

Last Approval: 02/14/2024

Next Review Due By: February 2025



- e. Reduced exercise capacity (VO₂ max < 10 ml/kg/min)
- f. Documented dependence on IV inotropic support to maintain adequate organ perfusion.
- g. Low grade myocardial tumor with **ALL** the following
 - i. No evidence of metastatic disease
 - ii. Tumor is unresectable.
- h. Selected patients with restrictive and hypertrophic cardiomyopathies including cardiac amyloidosis
- i. Unresectable ventricular diverticula
- j. Re-transplant is requested for graft dysfunction due to severe allograft vasculopathy.
- k. Severe congenital heart disease as indicated by at least **ONE** of the following:
 - i. Severe symptomatic cyanotic heart disease not amenable to palliation
 - ii. Single ventricle physiology
 - iii. Failed Fontan circulation including post-Fontan procedure with refractory heart failure, persistent protein-losing enteropathy, and/or plastic bronchitis despite optimal medical and surgical therapy
 - iv. Eisenmenger syndrome
 - v. Reactive pulmonary hypertension with risk for progression to a level of fixed pulmonary vascular resistance that may preclude future transplant
 - vi. Ventricular failure due to complex congenital heart disease that is not amenable to other surgical alternatives
 - vii. Severe oxygen desaturations not amenable to other surgical correction
 - viii. Severe heart failure refractory to medical therapy not amenable to other surgical, interventional, or electrophysiologic intervention
- 4. Documentation that all medical, pharmaceutical, and surgical alternatives to transplant have been utilized, if applicable, including but not limited to:
 - a. Alcohol septal ablation, myomectomy, mitral valve replacement, maximal medical therapy, or pacemaker therapy in patients with cardiomyopathy
 - b. Failed previous surgical correction or condition is not amendable to surgery in patients with congenital heart disease
 - c. Percutaneous coronary intervention or not amenable to coronary artery bypass surgery in patients with coronary artery disease
 - d. Valve replacement or repair in patients with valvular disease
 - e. Low sodium diet, diuretics, fluid restriction for patients with congestive heart failure
 - f. Pacing cardioverter defibrillator, electrophysiology guided single- or combination medical therapy, or not a candidate for ablative therapy in patients with arrhythmias
 - g. Coronary artery bypass surgery or percutaneous coronary intervention in patients with severe angina.
- 5. The requesting transplant recipient is free of **ALL** the following absolute contraindications:
 - a. Cardiac, pulmonary, and nervous system disease that cannot be corrected and is a prohibitive risk for surgery
 - b. Malignant neoplasm with a high risk for reoccurrence, non-curable malignancy (excluding localized skin cancer)
 - c. Systemic and/or uncontrolled infection
 - d. AIDS (CD4 count < 200cells/mm³)
 - e. Unwilling or unable to follow post-transplant regimen as documented by history of non-compliance and/or inability to adhere to follow through with medication adherence or office follow up
 - f. Chronic illness with one year or less life expectancy
 - g. Limited, irreversible rehabilitation potential
 - h. Active, untreated substance abuse or misuse (including significant and/or daily cannabis use) requires formal substance use disorder evaluation with clear and unambiguous documentation of:
 - i. A reasonable expectation that the member can adequately comply with a complex, post-transplant plan of care.

Molina Clinical Policy

Heart Transplantation: Policy No. 116

Last Approval: 02/14/2024

Next Review Due By: February 2025



- ii. The member is free from addiction for at least 6 months.
 - i. Inadequate social/family support
 - j. Active pregnancy
6. The requesting transplant recipient is carefully evaluated and potentially treated for any of the following relative contraindications:
 - a. Irreversible lung disease patients require consultation and clearance by a Pulmonologist prior to consideration of transplantation
 - b. Current smoker, requires documentation supporting free from smoking for 6 months or meets transplant center criteria.
 - c. Active peptic ulcer disease
 - d. Active gastroesophageal reflux disease
 - e. Cerebrovascular accident (CVA) with long term impairment that is not amendable to rehabilitation or a patient with CVA/transient ischemic attack within past 6 months
 - f. Body mass index (BMI) < 35 kg/m²
 - g. Chronic liver disease such as Hepatitis B/C/D, or cirrhosis, requires consultation by a gastroenterologist or hepatologist
 - h. Gall bladder disease, requires ultrasound of the gall bladder with treatment prior to transplantation
 - i. Multisystem disease with severe extracardiac organ dysfunction.
 - k. Active infection (patients may be considered for a transplant with well-controlled chronic infections such as HIV and Hepatitis C and B, with undetectable titers and no end-organ damage)
 - l. Advanced kidney disease requires consultation by a nephrologist.
 - m. Recent pulmonary embolism requiring anticoagulation (within the last 3-6 months)
 - n. Severe pulmonary hypertension (PH), if PH is refractory to medical therapy, then it is an absolute contraindication to heart transplant.

Pediatric Criteria for Transplantation

Heart Organ transplantation from a deceased donor **is considered medically necessary** in Members who are under the age of 18 years who meet **ALL** the following criteria:

1. End stage heart failure with persistent symptoms at rest who require one or more of the following:
 - a. Continuous infusion of intravenous inotropic agent
 - b. Mechanical ventilatory support
 - c. Mechanical circulatory support
2. Member meets **ONE** of the following indications for cardiac transplantation:
 - a. Stage D heart failure associated with systemic ventricular dysfunction in pediatric patients with cardiomyopathies or previously repaired or palliated CHD (e.g., continuous intravenous inotropic support or mechanical circulatory support is required)
 - b. Stage C heart failure (with present or history of symptomatic heart failure) **AND** one or more of the following:
 - i. Maximal oxygen consumption on cardiopulmonary exercise testing (less than 50% of expected level for age/sex)
 - ii. Heart-disease related growth failure
 - iii. Recurrent symptomatic or life-threatening arrhythmia unresponsive to medical therapy and interventional procedures (e.g., catheter ablation, intracardiac defibrillator)
 - iv. Severe exercise or activity intolerance
 - v. Progressive pulmonary hypertension.
 - c. Stage C heart failure in pediatric heart disease with associated near sudden death and/or life-threatening arrhythmias untreatable with medications or an implantable defibrillator
 - d. Stage C heart failure in pediatric restrictive cardiomyopathy disease associated with reactive pulmonary hypertension
 - e. Stage C heart failure in pediatric heart disease associated with reactive pulmonary hypertension and a potential risk of developing fixed, irreversible elevation of pulmonary vascular resistance that could preclude orthotopic heart transplantation in the future
 - f. Severe congenital heart disease with at least one of the following:
 - i. Hypoplastic left heart syndrome and 1 or more of the following:
 1. Proximal coronary artery stenosis or atresia

Molina Clinical Policy

Heart Transplantation: Policy No. 116

Last Approval: 02/14/2024

Next Review Due By: February 2025



2. Atrioventricular or semilunar valve with moderate to severe stenosis or insufficiency
 3. Severe ventricular dysfunction including heart failure associated with systemic ventricular dysfunction in patients with cardiomyopathies or previously repaired/palliated CHD when heart failure is associated with significant growth failure attributable to the heart disease
 - ii. Severe arterial oxygen desaturations (cyanosis) not amenable to other surgical correction
 - iii. Fontan circulation with systemic complications with at least one of the following:
 1. Protein losing enteropathy
 2. Plastic bronchitis
 3. Stroke or thromboembolic disease
 4. Cirrhosis of the liver
 5. Refractory ascites
 - iv. Failed surgical palliation.
 - g. Low-grade myocardial tumor and **ALL** the following:
 - i. No evidence of metastatic disease
 - ii. Tumor is unresectable
 - h. Re-transplant is requested for graft dysfunction due to severe allograft vasculopathy.
3. Documentation should be submitted as outlined above in the Adult Criteria.
 4. The requesting transplant recipient is free of all absolute contraindications as outlined above in the Adult Criteria
 5. The requesting transplant recipient is carefully evaluated and potentially treated for any of the relative contraindications as outlined above in the Adult Criteria

Re-Transplantation

A second transplant **may be considered medically necessary** when **ALL** the other requirements for transplantation outlined above have been met **AND one** of the following conditions are present:

1. Graft failure of an initial heart transplant due to either technical reasons or acute rejection
2. Chronic rejection
3. Significant cardiac allograft vasculopathy with refractory cardiac allograft dysfunction, without evidence of ongoing acute rejection
4. Recurrent disease

Heart and Lung Transplantation

For multi-organ transplant requests, criteria must be met for each organ requested.

Continuation of Therapy

When extension of a previously approved transplant authorization is requested, review using updated clinical information is appropriate.

1. If Molina Healthcare has authorized prior requests for transplantation, **ALL** the following information is required for medical review:
 - a. Absence of absolute contraindication listed above
 - b. History and physical within the last 12 months
 - c. Kidney profile within the last 12 months
 - d. Psychosocial evaluation or update within the last 12 months
 - e. Per initial and updated history and physical, any other clinically indicated tests and/or scans as determined by transplant center physician or Molina Medical Director.
2. If authorized prior requests for transplantation were obtained from another insurer, **ALL** the following information is required for medical review:
 - a. Authorization letter/documentation from previous insurer
 - b. Presence of no absolute contraindication as listed above
 - c. History and physical within the last 12 months
 - d. Psychosocial evaluation or update within the last 12 months

Molina Clinical Policy

Heart Transplantation: Policy No. 116

Last Approval: 02/14/2024

Next Review Due By: February 2025



- e. Per initial and updated history and physical, any other clinically indicated tests and/or scans as determined by transplant center physician or Molina Medical Director.

Limitations and Exclusions

1. Requests for a third or subsequent heart transplant are **NOT considered medically necessary**.
2. Heart Transplantation is **NOT considered medically necessary** when the above criteria are not met.

DOCUMENTATION REQUIREMENTS. Molina Healthcare reserves the right to require that additional documentation be made available as part of its coverage determination; quality improvement; and fraud; waste and abuse prevention processes. Documentation required may include, but is not limited to, patient records, test results and credentials of the provider ordering or performing a drug or service. Molina Healthcare may deny reimbursement or take additional appropriate action if the documentation provided does not support the initial determination that the drugs or services were medically necessary, not investigational, or experimental, and otherwise within the scope of benefits afforded to the member, and/or the documentation demonstrates a pattern of billing or other practice that is inappropriate or excessive.

SUMMARY OF MEDICAL EVIDENCE

National and Specialty Organizations

The **Organ Procurement and Transplantation Network (OPTN)** published *Policy 6: Allocation of Hearts and Heart-Lungs* which includes adult and pediatric status assignments and update requirements; adult and pediatric status exceptions; waiting time; and heart allocation classifications and rankings (OPTN 2023).

The **International Society for Heart Lung Transplantation (ISHLT)** published *Guidelines for the Care of Heart Transplant Candidates* (Velleca et al. 2023) which comprehensively addresses multiples aspects of the medical care needed in heart transplant candidates. Section one addresses perioperative care, which includes pre-transplant organization, surgical issues impacting care in the immediate post operative period, bridge therapies, early post operative care, evaluation of allosensitization, management of ABO “incompatible” transplant recipients, coagulopathies, and use of ECMO for the management of primary graft. Section two addresses immunosuppression and rejection, which includes rejection surveillance, monitoring immunosuppressive drugs, recommended regimens, treatment of acute cellular rejection, treatment of hyperacute and antibody mediated rejection, and management of late acute rejection. Section three addresses long term care of heart transplant complications, which includes management of neurologic complications, cardiac allograft vasculopathy, malignancy after heart transplantation, chronic kidney disease after heart transplantation, management of cardiovascular risk, complications of chronic immunosuppression, arrhythmias, anticoagulation, monitoring those at higher risk for infectious disease, and graft failure. Section four addresses long term prevention and prophylaxis, which includes frequency of routine tests, exercise, nutrition, reproductive health, psychological and psychosocial issues, substance use and abuse, return to work, family screening, travelling, and transition from pediatric to adult care.

In addition, the **ISHLT** published the *2016 International Society for Heart Lung Transplantation Listing Criteria for Heart Transplantation: A 10-Year Update* (Mehra et al. 2016). The updated guideline, originally published in 2006, focuses on evolving areas that were previously not addressed adequately. Topics include congenital heart disease (CHD), restrictive cardiomyopathy, and infectious diseases. Criteria are categorized by section:

- **Section I (General Considerations): A Review and Revision of the 2006 Guideline.** Covers recommendations for:
 - Cardiopulmonary stress testing
 - Use of heart failure survival prognosis scores
 - Role of diagnostic right-heart catheterization
 - Comorbidities and their implications for transplant listing (including age, obesity, diabetes mellitus, renal function, cerebral and peripheral vascular disease, assessment of frailty, mechanical circulatory support for bridge to candidacy)
 - Tobacco use, substance abuse, and psychosocial evaluation in candidate
 - Guidance for screening grids and serial pre-transplant evaluation
 - Dynamic listing and new donor allocation algorithms
 - Re-transplantation
- **Section II (Special Considerations): Restrictive and Infiltrative Cardiomyopathy**
 - Restrictive cardiomyopathies

Molina Clinical Policy

Heart Transplantation: Policy No. 116

Last Approval: 02/14/2024

Next Review Due By: February 2025



- Cardiac amyloidosis
- Hypertrophic cardiomyopathy and arrhythmogenic right ventricular dysplasia
- **Section III (Special Considerations): Infectious Diseases.** Includes recommendations related to heart transplantation and patients with any of the following conditions: HIV/AIDS, Chagas disease, Tuberculosis, Hepatitis B and C, as well as vaccine-preventable infections.
- **Section IV (Special Considerations): CHD.** Many heart transplant candidates are children with CHD and adult survivors of CHD. This section focuses on issues specific to these populations including sensitization and reasons to require transplantation in the absence of overt heart failure. For example, failing Fontan circulation which makes it difficult to bridge such candidates to a timely, successful transplantation.

The **American College of Cardiology (ACC) and American Heart Association (AHA)** published the updated *AHA/ACC/HFSA Guideline for the Management of Heart Failure: A Report of the American College of Cardiology / American Heart Association Joint Committee on Clinical Practice Guidelines* (Heidenreich et al. 2022) which provides clinical recommendations to prevent, diagnose, and manage patients with heart failure.

In addition, the following have also been published:

- *2021 ACC/AHA Key Data Elements and Definitions for Heart Failure* (Bozkurt et al. 2021)
- *2020 ACC/HFSA/ISHLT Lifelong Learning Statement for Advanced Heart Failure and Transplant Cardiology Specialists* (Yancy et al. 2020)
- *2020 ACC/AHA Clinical Performance and Quality Measures for Adults with Heart Failure* (Heidenreich et al. 2020)

SUPPLEMENTAL INFORMATION

New York Heart Association Functional Classification (AHA 2023):

I. No limitation of physical activity. Ordinary physical activity does not cause undue fatigue, palpitation, or shortness of breath.

II. Slight limitation of physical activity. Comfortable at rest. Ordinary physical activity results in fatigue, palpitation, shortness of breath or chest pain.

III. Marked limitation of physical activity. Comfortable at rest. Less than ordinary activity causes fatigue, palpitation, shortness of breath or chest pain.

IV. Symptoms of heart failure at rest. Any physical activity causes further discomfort.

CODING & BILLING INFORMATION

CMS has a National Coverage Determination (NCD) *Heart Transplantation (260.9)* which covers the procedure in adults when performed in a facility which is approved by Medicare as meeting institutional coverage criteria. Pediatric heart transplantation is covered when performed in a pediatric hospital that performs pediatric heart transplants if the hospital submits an application which CMS approves as documenting that:

- The hospital's pediatric heart transplant program is operated jointly by the hospital and another facility that has been found by CMS to meet the institutional coverage criteria in CMS Ruling 87-1;
- The unified program shares the same transplant surgeons and quality assurance program (including oversight committee, patient protocol, and patient selection criteria); and
- The hospital can provide the specialized facilities, services, and personnel that are required by pediatric heart transplant patients.

CPT (Current Procedural Terminology) Codes

Code	Description
33930	Donor cardiectomy-pneumonectomy (including cold preservation)
33933	Backbench standard preparation of cadaver donor heart/lung allograft prior to transplantation, including dissection of allograft from surrounding soft tissues to prepare aorta, superior vena cava, inferior vena cava, and trachea for implantation
33935	Heart-lung transplant with recipient cardiectomy-pneumonectomy
33940	Donor cardiectomy (including cold preservation)
33944	Backbench standard preparation of cadaver donor heart allograft prior to transplantation, including dissection of allograft from surrounding soft tissues to prepare aorta, superior vena cava, inferior vena cava, pulmonary artery, and left atrium for implantation

Molina Clinical Policy

Heart Transplantation: Policy No. 116

Last Approval: 02/14/2024

Next Review Due By: February 2025



33945	Heart transplant, with or without recipient cardiectomy
-------	---

HCPSC (Healthcare Common Procedure Coding System) Code

Code	Description
S2152	Solid organs(s), complete or segmental, single organ or combination of organs; deceased or living donor(s), procurement, transplantation, and related complications; including: drugs; supplies; hospitalization with outpatient follow-up; medical/surgical, diagnostic, emergency, and rehabilitative services; and the number of days of pre- and post-transplant care in the global definition

CODING DISCLAIMER. Codes listed in this policy are for reference purposes only and may not be all-inclusive. Deleted codes and codes which are not effective at the time the service is rendered may not be eligible for reimbursement. Listing of a service or device code in this policy does not guarantee coverage. Coverage is determined by the benefit document. Molina adheres to Current Procedural Terminology (CPT®), a registered trademark of the American Medical Association (AMA). All CPT codes and descriptions are copyrighted by the AMA; this information is included for informational purposes only. Providers and facilities are expected to utilize industry standard coding practices for all submissions. When improper billing and coding is not followed, Molina has the right to reject/deny the claim and recover claim payment(s). Due to changing industry practices, Molina reserves the right to revise this policy as needed.

APPROVAL HISTORY

02/14/2024	Policy reviewed, changes to criteria include age for colonoscopy reduced to 45 years, addition of non-life limiting neurological impairment criteria and additional disease processes to criteria, removal of abnormal serology criteria and daily cannabis use section, and addition of active pregnancy and substance abuse statement under absolute contraindications. IRO Peer Review on January 4, 2024, by a practicing physician board certified in Cardiovascular Disease.
02/08/2023	Policy reviewed, no changes to criteria, included section on cannabis use.
02/09/2022	Policy reviewed; updated items from 2016 ISHLT criteria; included marijuana use under absolute contraindications; updated Summary of Medical Evidence and Reference sections. IRO Peer Review on February 7, 2022, by a practicing physician board certified in General Surgery, Transplant Surgery.
02/08/2021	Policy reviewed. No changes to coverage criteria, updated overview and summary of medical evidence.
04/23/2020	Policy reviewed. No changes to coverage criteria, updated overview and summary of medical evidence.
09/18/2019	Policy reviewed. No changes to coverage criteria, updated overview and summary of medical evidence.
09/13/2018	Policy reviewed. Added criteria for restrictive and hypertrophic cardiomyopathies, and congenital heart disease (adults); updated pretransplant criteria to include significant cardiac allograft vasculopathy with refractory cardiac allograft dysfunction, without evidence of ongoing acute rejection. Added multisystem disease with severe extracardiac organ dysfunction as an absolute contraindication to transplant. Updated professional society guidelines and references.
06/22/2017	Policy reviewed. No changes to coverage criteria, updated overview and summary of medical evidence.
09/15/2016	Policy reviewed. No changes to coverage criteria, updated overview and summary of medical evidence.
04/09/2015	Policy reviewed; updated with new pretransplant criteria; condensed medical evidence section.
09/24/2012	New policy.

REFERENCES

1. American Heart Association (AHA). Classes and Stages of Heart Failure. Heart.org. Updated June 7, 2023. Accessed January 09, 2024.
2. Bozkurt B, Hershberger RE, Butler J, et al. 2021 ACC / AHA key data elements and definitions for heart failure: A report of the American College of Cardiology / American Heart Association Task Force on Clinical Data Standards (Writing Committee to Develop Clinical Data Standards for Heart Failure). Circ Cardiovasc Qual Outcomes. 2021 Apr;14(4): e000102. doi: 10.1161/HCQ.000000000000102. PMID: 33755495. PMCID: PMC8059763
3. Centers for Disease Control and Prevention. Heart failure. CDC.gov. Published January 5, 2023. Accessed January 09, 2024.
4. Centers for Medicare and Medicaid Services (CMS). National coverage determination (NCD) – Heart transplants (260.9). CMS.gov. Effective May 1, 2008. Accessed January 08, 2024.
5. Heidenreich PA, Bozkurt B, Aguilar D, et al. 2022 AHA/ACC/HFSA guideline for the management of heart failure: A report of the American College of Cardiology/American Heart Association Joint Committee on Clinical Practice Guidelines. Circulation. 2022 May 3;145(18): e895-e1032. doi: 10.1161/CIR.0000000000001063. PMID: 35363499.
6. Heidenreich PA, Fonarow GC, Breathett K, et al. 2020 ACC/AHA clinical performance and quality measures for adults with heart failure: A report of the American College of Cardiology/American Heart Association Task Force on Performance Measures. J Am Coll Cardiol. 2020 Nov 24;76(21):2527-2564. doi: 10.1016/j.jacc.2020.07.023. PMID: 33153861. PMCID: PMC8341828.
7. Mancini D. Heart transplantation in adults: Indications and contraindications. Updated August 11, 2022. uptodate.com. Accessed January 09, 2024.
8. Mehra MR, Canter CE, Hannan MM, et al. International Society for Heart Lung Transplantation (ISHLT) Infectious Diseases, Pediatric and Heart Failure and Transplantation Councils. The 2016 International Society for Heart Lung Transplantation listing criteria for heart transplantation: A 10-year update. J Heart Lung Transplant. 2016 Jan;35(1):1-23. doi: 10.1016/j.healun.2015.10.023. PMID: 26776864.
9. Melaragno JI, Bowman LJ, Park JM, et al. The clinical conundrum of cannabis: Current practices and recommendations for transplant clinicians: An opinion of the immunology/transplantation PRN of the American College of Clinical Pharmacy. Transplantation. 2021 Feb 1;105(2):291-299. doi: 10.1097/TP.0000000000003309. PMID: 32413017.
10. Pham MX. Heart transplantation in adults: Prognosis. Uptodate.com. Published June 9, 2022. Accessed January 09, 2024.
11. Singh RK, Singh TP. Heart failure in children: Etiology, clinical manifestations, and diagnosis. Uptodate.com. Updated November 23, 2022. Accessed January 09, 2024.
12. Organ Procurement and Transplantation Network (OPTN). OPTN policies – Policy 6: Allocation of hearts and heart-lungs.

Molina Clinical Policy

Heart Transplantation: Policy No. 116

Last Approval: 02/14/2024

Next Review Due By: February 2025



- Optn.transplant.hrsa.gov. Effective December 13, 2023. Accessed January 09, 2024.
13. United Network for Organ Sharing (UNOS). Organ distribution. UNOS.org. Accessed January 09, 2024.
 14. Velleca A, Shullo MA, Dhital K, et al. The International Society for Heart and Lung Transplantation (ISHLT) guidelines for the care of heart transplant recipients. *J Heart Lung Transplant.* 2023 May;42(5): e1-e141. doi: 10.1016/j.healun.2022.10.015. PMID: 37080658.
 15. Yancy CW, Drazner MH, Coffin ST, et al. 2020 ACC/HFSA/ISHLT lifelong learning statement for advanced heart failure and transplant cardiology specialists: A report of the ACC Competency Management Committee. *J Am Coll Cardiol.* 2020 Mar, 75 (10) 1212–1230. doi: 10.1016/j.jacc.2019.09.030. PMID: 32081442.